

FORM PTO 1390
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2005_0595ATRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO. -
(if known, see 37 CFR 1.52)
NEW **10/530911**International Application No.
PCT/JP2003/013025International Filing Date
October 10, 2003Priority Date Claimed
October 10, 2002**Title of Invention**BROADCAST DATA TRANSMISSION/RECEPTION SYSTEM AND BROADCAST DATA TRANSMISSION/RECEPTION
METHOD**Applicant(s) For DO/EO/US**

Kiyonori KIDO, Kazuhiko HIRAYAMA


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau as indicated on the attached Form PCT/IB/308. **ATTACHMENT A**
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). **ATTACHMENT B**
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An **unexecuted** oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) along with Cover Letter for Application Filed Without Executed Declaration. **ATTACHMENT C**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **ATTACHMENT D**
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary remarks. **ATTACHMENT E**
 - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ Other items or information: a. Submission of Replacement Drawings **ATTACHMENT F**
 - b. Notification Concerning Submission or Transmittal of Priority Document, Form PCT/IB/304. **ATTACHMENT G**

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

U.S. APPLICATION NO. 10/530911 NEW		INTERNATIONAL APPLICATION NO. PCT/JP2003/013025		ATTORNEY'S DOCKET NO. 2005_0595A																																																																													
15. [X] The following fees are submitted Basic National Stage Fee \$300.00 National Stage Search Fee \$400.00 National Stage Examination Fee \$200.00 Specification/drawings in excess of 100 pages (units of 50 x \$250.00) = \$ ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:20%;">Number Extra</th> <th style="width:20%;">Rate</th> <th style="width:20%;"></th> <th style="width:20%;"></th> </tr> <tr> <td>Total Claims</td> <td>28-20 =</td> <td>8</td> <td>X \$50.00</td> <td>\$ 400.00</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>12- 3 =</td> <td>9</td> <td>X \$200.00</td> <td>\$1800.00</td> <td></td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$360.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$3100.00</td> <td></td> </tr> <tr> <td colspan="4">[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4">SUBTOTAL =</td> <td>\$3100.00</td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4">TOTAL NATIONAL FEE =</td> <td>\$3100.00</td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property</td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4">TOTAL FEES ENCLOSED =</td> <td>\$3100.00</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>Amount to be refunded</td> <td>\$</td> </tr> <tr> <td colspan="4"></td> <td>Amount to be charged</td> <td>\$</td> </tr> </table>				Claims	Number Filed	Number Extra	Rate			Total Claims	28-20 =	8	X \$50.00	\$ 400.00		Independent Claims	12- 3 =	9	X \$200.00	\$1800.00		Multiple dependent claim(s) (if applicable)			+ \$360.00	\$		TOTAL OF ABOVE CALCULATIONS =				\$3100.00		[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$		SUBTOTAL =				\$3100.00		Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$	TOTAL NATIONAL FEE =				\$3100.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				+	\$	TOTAL FEES ENCLOSED =				\$3100.00						Amount to be refunded	\$					Amount to be charged	\$
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a. [X] A check in the amount of \$ <u>3100.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> .																																																																																	
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																																																	
19. CORRESPONDENCE ADDRESS <div style="text-align: center;"> CUSTOMER NO. 000513 </div>			By:  Jeffrey R. Filipek, Registration No. 41,471 WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250 April 8, 2005																																																																														

[CHECK NO. 67620]
[2005_0595A]

JC13 Rec'd PCT/PTO 08 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kiyonori KIDO et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2005_0595A
Filed April 8, 2005 :
BROADCAST DATA TRANSMISSION/
RECEPTION SYSTEM AND BROADCAST
DATA TRANSMISSION/RECEPTION : **THE COMMISSIONER IS AUTHORIZED
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FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975**
METHOD :
[Corresponding to PCT/JP2003/013025
Filed October 10, 2003]

**COVER LETTER FOR APPLICATION FILED
WITHOUT EXECUTED DECLARATION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.52(d).

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006-1021

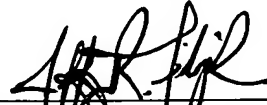
ATTACHMENT C

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Kiyonori KIDO et al.

By



Jeffrey R. Filipek
Registration No. 41,471
Attorney for Applicants

JRF/fs
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
April 8, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kiyonori KIDO et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2005_0595A
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BROADCAST DATA TRANSMISSION/ :
RECEPTION SYSTEM AND BROADCAST :
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SUBMISSION OF REPLACEMENT DRAWINGS

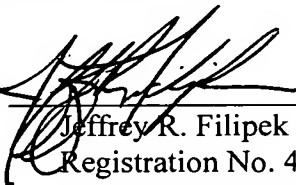
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is 1 sheet of formal (A4) drawing (Fig. 13). As described in the Preliminary Remarks filed concurrently herewith, in the replacement figure, the block "1306a1" has been changed to block "1306a2"; block "1307a1" has been changed to block "1307a2"; block "1306a1" has been changed to block "1306an"; block "1307a1" has been changed to block "1307an"; first block "1308a1" has been changed to "1308a2" and second block "1308a1" has been changed to block "1308an".

Respectfully submitted,

Kiyonori KIDO et al.

By 
Jeffrey R. Filipek
Registration No. 41,471
Attorney for Applicants

JRF/fs
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
April 8, 2005

ATTACHMENT F